Exhibit C

AO 89B (07/16) Subpoena to Produce Documents, Information, or Objects in a Criminal Case

Martin G. Weinberg, Esq.

United States District Court

for the

District of Massachusetts

United States of America

V.

Ross McLellan

Defendant

Defendant

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION OBJECTS IN A CRIMINAL CASE

To: State Street Bank and Trust

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR To: State Street Bank and Trust (Name of person to whom this subpoena is directed) YOU ARE COMMANDED to produce at the time, date, and place set forth below the following books, papers, documents, data, or other objects: See "Attachment A." Date and Time: Place: Clerk's Office United States District Court, District of Massachusetts One Courthouse Way, Boston MA, 02210 Certain provisions of Fed. R. Crim. P. 17 are attached, including Rule 17(c)(2), relating to your ability to file a motion to quash or modify the subpoena; Rule 17(d) and (e), which govern service of subpoenas; and Rule 17(g), relating to your duty to respond to this subpoena and the potential consequences of not doing so. (SEAL) Date: CLERK OF COURT Signature of Clerk or Deputy Clerk The name, address, e-mail, and telephone number of the attorney representing (name of party) Ross McLellan , who requests this subpoena, are:

Notice to those who use this form to request a subpoena

20 Park Plaza, Suite 1000, Boston, MA 02116, owlmcb@att.net, (617) 227-3700

Before requesting and serving a subpoena pursuant to Fed. R. Crim. P. 17(c), the party seeking the subpoena is advised to consult the rules of practice of the court in which the criminal proceeding is pending to determine whether any local rules or orders establish requirements in connection with the issuance of such a subpoena. If no local rules or orders govern practice under Rule 17(c), counsel should ask the assigned judge whether the court regulates practice under Rule 17(c) to 1) require prior judicial approval for the issuance of the subpoena, either on notice or ex parte; 2) specify where the documents must be returned (e.g., to the court clerk, the chambers of the assigned judge, or counsel's office); and 3) require that counsel who receives produced documents provide them to opposing counsel absent a disclosure obligation under Fed. R. Crim. P. 16.

Please note that Rule 17(c) (attached) provides that a subpoena for the production of certain information about a victim may not be issued unless first approved by separate court order.

Case 1:16-er-10094-LTS Decument 286 Filed 02/08/18 Page 3 of 56

AO 89B (07/16) Subpoena to Produce Documents, Information, or Objects in a Criminal Case (Page 2)

Case No. 16-cr-10094

PROOF OF SERVICE

This subpoena fo	or (name of individual and title, if any)		
ras received by me on (d	late)		
☐ I served the s	ubpoena by delivering a copy to the nar	ned person as follows:	
		on (date)	; or
☐ I returned the	subpoena unexecuted because:		
	pena was issued on behalf of the United vitness fees for one day's attendance, an		
\$	·		
fees are \$	for travel and \$	for services, for a total of \$	0.00
I declare under p	penalty of perjury that this information i	s true.	
te:		Server's signature	
		Printed name and title	
	-	Server's address	

Additional information regarding attempted service, etc.:

AO 89B (07/16) Subpoena to Produce Documents, Information, or Objects in a Criminal Case (Page 3)

Federal Rule of Criminal Procedure 17 (c), (d), (e), and (g) (Effective 12/1/08)

(c) Producing Documents and Objects.

- (1) In General. A subpoena may order the witness to produce any books, papers, documents, data, or other objects the subpoena designates. The court may direct the witness to produce the designated items in court before trial or before they are to be offered in evidence. When the items arrive, the court may permit the parties and their attorneys to inspect all or part of them.
- (2) Quashing or Modifying the Subpoena. On motion made promptly, the court may quash or modify the subpoena if compliance would be unreasonable or oppressive.
- (3) Subpoena for Personal or Confidential Information About a Victim. After a complaint, indictment, or information is filed, a subpoena requiring the production of personal or confidential information about a victim may be served on a third party only by court order. Before entering the order and unless there are exceptional circumstances, the court must require giving notice to the victim so that the victim can move to quash or modify the subpoena or otherwise object.
- (d) Service. A marshal, a deputy marshal, or any nonparty who is at least 18 years old may serve a subpoena. The server must deliver a copy of the subpoena to the witness and must tender to the witness one day's witness-attendance fee and the legal mileage allowance. The server need not tender the attendance fee or mileage allowance when the United States, a federal officer, or a federal agency has requested the subpoena.

(e) Place of Service.

- (1) In the United States. A subpoena requiring a witness to attend a hearing or trial may be served at any place within the United States.
- (2) In a Foreign Country. If the witness is in a foreign country, 28 U.S.C. § 1783 governs the subpoena's service.
- **(g) Contempt.** The court (other than a magistrate judge) may hold in contempt a witness who, without adequate excuse, disobeys a subpoena issued by a federal court in that district. A magistrate judge may hold in contempt a witness who, without adequate excuse, disobeys a subpoena issued by that magistrate judge as provided in 28 U.S.C. § 636(e).

ATTACHMENT A

Unredacted e-mails and documents listed below:

Case 1

0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Informat
	12/6/2011	Bonn, Nick	Smit, Stephen C.;	Phelan, David C.*;	Attorney-Client	Email requesting legal advice from B .
	8:38:31 PM		Ringrow, Rodney J.;	Bailey, Marshall		Woodard* and D. Phelan* regardingtran
			Woodard, R. Bryan*			management and client remuneration
	12/6/2011	Woodard, R. Bryan*	Bonn, Nick; Smit, Stephen	Phelan, David C.*;	Attorney-Client	Email chain requesting and rendering leg
	9:00:17 PM		C.; Ringrow, Rodney J.	Bailey, Marshall		advice of R. Woodard* and D. Phelan*
						regarding transition management and cli
				200		remuneration C
	12/7/2011	Ringrow, Rodney J.	Bonn, Nick; Smit, Stephen	Phelan, David C.*;	Attorney-Client	Email chain providing information Rer th
	2:19:21 AM		C.; Woodard, R. Bryan*	Bailey, Marshall		purpose of obtaining legal advice frem F
						Woodard* and D. Phelan* regardingtra
						management and client communicaffon
	12/6/2011	Bonn, Nick	Ringrow, Rodney J.	Smit, Stephen C.	Attorney-Client	Email chain reflecting request for legal a
	11:10:51 PM	*	2000	0000		from R. Woodard* and D. Phelan* Regar
						transition management and client regiun
	12/1/2011	Leaden, Mark	Woodard, R. Bryan*;	Scott, Iain; Bailey,	Attorney-Client	Email chain requesting and rendering leg
	7:40:49 PM		Newell, Kim; Waller,	Marshall; Boomgaardt,		advice of R. Woodard* and J. Norrige
			Cassie; Smith, Stephen J;	Rick; Bonn, Nick		regarding internal fee analysis revie₩ an
			Norris, Jonathan*; Scully,			client remuneration
			Anthony			ag
	12/23/2011	Coulter, Cuan F.	Scott, Iain; Oliver, Robin;	Buonopane, Jennifer L.;	Attorney-Client;	Email chain providing information for th
	11:40:55		Bonn, Nick; Phelan, David	MacDonald, Wendy;	Work-Product	purpose of obtaining legal advice from I
	AM		C.*; Smit, Stephen C.;	Johansen, Harry G.		Phelan* and R. Woodard* regarding trai
			86 10 20 20 20 20 20 20 20 20 20 20 20 20 20			

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0.	Date	From	То	CC/BCC	Basis for Withholding	Description of Privileged Informat
			Woodard, R. Bryan*; Bailey, Marshall; Newell, Kim; Carlin, Chris; Scully, Anthony; Hansen, Mark R.			management fee review and controls and anticipation of litigation
						I-LTS
	12/23/2011 6:37:00 AM				Attorney-Client; Work-Product	Attached notes rendering legal advice of outside counsel regarding transitions management fee review and controls and anticipation of litigation
32	12/2/2011 12:49:45 PM	Hansen, Mark R.	Bonn, Nick		Attorney-Client	Email chain discussing legal advice Af R Woodard* and J. Norris* regarding internallysis review and client remmeration
	10/7/2011 1:18:12 AM	O'Neill, Peter	Puth, David W.; Bonn, Nick; Woodard, R. Bryan*	Balogh, Justin; Hansen, Mark R.	Attorney-Client	Email requesting legal advice from B . Woodard* regarding response to pressore coverage
	11/9/2011 9:24:04 PM	Newell, Kim	Smith, Wendy W.; Woodard, R. Bryan*	Bailey, Marshall; Bonn, Nick; Davidson, Lucy; Curran, Alicia A.; Cichon, Carolyn; Smith, Stephen J; Oliver, Robin; Hansen, Mark R.; Phelan, David C.*	Attorney-Client	Email requesting legal advice from R. Woodard* and D. Phelan* regarding clic communication above the communication of the communicatio
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⁻ 56		R. Bryan*; Phelan,				
accrual		Stephen C.; Woodard,	James			
Email chain requesting legal advicection Woodard* and D. Phelan* regarding rev	Attorney-Client	Mohan, Aditya; Jensen, Christopher P.: Smit.	Murphy, Christopher G; Carlin, Chris: Barnard.	Bonn, Nick	12/15/2011 12:25:02 PM	
P		R.; Phelan, David C.*				
.8		Robin; Hansen, Mark				
8/1		Stephen J; Oliver,				
in anticipation of litigation		Cichon, Carolyn; Smith,	Bryan*			
Woodard* regarding communications pl	Work-Product	Nick; Davidson, Lucy;	Wendy W.; Woodard, R.	8	2:22:12 PM	
Email chain discussing legal advice Af R	Attorney-Client;	Bailey, Marshall; Bonn,	Newell, Kim; Smith,	Curran, Alicia A.	11/10/2011	
communication						
Woodard* and D. Phelan* regarding clie						
the purpose of obtaining legal advice fro	č				9:24:05 PM	
Attached draft letter providing informati	Attorney-Client				11/9/2011	
regarding client communication $\frac{3}{6}$						
advice from R. Woodard* and D. Pielar						
information for the purpose of obtaining	Š				9:24:05 PM	
Attached draft talking points providing	Attorney-Client				11/9/2011	
communication						
R. Woodard* and D. Phelan* regarding						
for the purpose of obtaining legal advice					9:49:00 AM	
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age 8 of 56		Thomas; Holden, Ian N.; Woodard, R. Bryan*; Miller, Kevin A.				
Email chain providing information for the purpose of obtaining legal advice fr\mathfrak{m} F	Attorney-Client	Boomgaardt, Rick; Carlin, Chris; Bonn,	Bryant, Tom; Choudhary, Adnan	Spyropoulos, Gregory J.	11/18/2011 10:36:53 PM	
communication and in anticipation of lit		Bailey, Marshall				
Phelan* and R. Woodard* regardingclie	Work-Product	Boomgaardt, Rick;	waner, Cassie, Bollii, Mick	INEWEII, MIIII	4:01:20 PM	
review and in anticipation of litigation						
R. Woodard* regarding PwC fee analysi	Work-Product				9:47:00 PM	
Attached draft report reflecting legaloads	Attorney-Client;				12/28/2011	
nent						
Woodard* regarding PwC fee analysis reand in anticipation of litigation						
purpose of rendering legal advice of R.	Work-Product	Nick			2:53:05 PM	
Email chain requesting information Br t	Attorney-Client;	Newell, Kim; Bonn,	Carlin, Chris	Woodard, R. Bryan*	12/29/2011	
and client communications						
regarding transition management feerev						
Woodard*, J. Norris*, and D. Phelan	•			,	3:43:38 PM	
Email chain discussing legal advice of R	Attorney-Client		Bonn, Nick; Carlin, Chris	Newell, Kim	12/27/2011	
6-0		David C.*				
Description of Privileged Information	Basis for Withholding	CC/BCC	To	From	Date	0.
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0.	Date	From	То	CC/BCC	Basis for Withholding	Description of Privileged Informa
	11/18/2011 10:21:30 PM				Attorney-Client	Attached spreadsheet providing informathe purpose of obtaining legal advice fro Woodard* and outside counsel regarding transitions
	11/18/2011 10:31:28 PM				Attorney-Client	Attached spreadsheet reflecting request information for the purpose of rendering advice of R. Woodard* and outside cour regarding KIA transitions
7	11/17/2011 4:30:04 AM	Woodard, R. Bryan*	Bryant, Tom	Carlin, Chris; Bonn, Nick	Attorney-Client	Email chain reflecting request for inform for the purpose of rendering legal advice Woodard* regarding client communacati
	11/22/2011 3:25:50 PM	Orton, Simon*	Woodard, R. Bryan*; Spyropoulos, Gregory J.; Bryant, Tom	Bonn, Nick; Miller, Kevin A.; Norris, Jonathan*	Attorney-Client	Email chain requesting and rendering legadvice of R. Woodard*, J. Norris* and o counsel regarding KIA transition
	11/9/2011 9:14:33 PM	Newell, Kim	Bonn, Nick; Carlin, Chris		Attorney-Client; Work-Product	Email chain reflecting legal advice & D. Phelan* regarding client communication anticipation of litigation
	11/9/2011 8:11:58 PM	Carlin, Chris	Bonn, Nick; Newell, Kim		Attorney-Client	Email discussing legal advice of D. Shel and R. Woodard* regarding client communication
	11/25/2011 6:56:09 PM	Bonn, Nick	Carlin, Chris		Attorney-Client	Email chain discussing legal advice of D Phelan* regarding internal fee analysis r
	12/6/2011 12:08:58 PM	Bonn, Nick	Woodard, R. Bryan*	Carlin, Chris	Attorney-Client	Email chain providing information tarth purpose of obtaining legal advice frein F

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0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Informat
						Woodard* regarding client remuneration
	11/25/2011 7:58:34 PM	Carlin, Chris	Bonn, Nick		Attorney-Client	Email chain discussing legal advice of L Phelan* regarding internal fee anal sis r
	11/11/2011 10:08:44 PM	Woodard, R. Bryan*	Smit, Stephen C.	Bonn, Nick; Bailey, Marshall; Newell, Kim;	Attorney-Client; Work-Product	Email rendering legal advice of R. Roo regarding client communication and in
				Grove, Hannah M.; Curran, Alicia A.;		anticipation of litigation
				Phelan, David C.*;		Docu
				McKay, Melissa A.*		mer
	11/11/2011				Attorney-Client;	Attached draft letter reflecting legal adv
	4:26:00 PM				Work-Product	R. Woodard* regarding client communic
						and in anticipation of intgation
						2/08
	11/11/2011				Attorney-Client;	Attached draft letter reflecting legaledor
	4:35:00 PM				Work-Product	R. Woodard* regarding client communic
						and in anticipation of litigation
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	11/11/2011 4·27·00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legalady R Woodard* regarding client communic
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						and in anticipation of litigation
	10/30/2011 9:41:59 AM	Balogh, Justin	Woodard, R. Bryan*	Bonn, Nick	Attorney-Client	Email requesting legal advice from R . Woodard* regarding communicatio B wir consultants
	10/30/2011 6:40:00 PM				Attorney-Client	Attached document providing information the purpose of obtaining legal advice of Woodard* regarding communications with the burners of the communications with the communications with the communications with the communications of the communications with the communications of the communi
						Consultants
	11/17/2011 10:44:22 AM	Phelan, David C.*	Oliver, Robin; Coulter, Cuan F.; Carp, Jeffrey*; Bonn, Nick; Smit, Stephen	Orton, Simon*; Woodard, R. Bryan*; Norris, Jonathan*	Attorney-Client; Work-Product	Email requesting and rendering legal advances. D. Phelan*, J. Carp*, R. Woodard* S. Nand outside counsel regarding regulatory
			C., Ivewen, Nam, Daney, Marshall; MacDonald, Wendy			mvesugation and in anticipation of Higgs D O
	11/17/2011 10:44:21 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelanks J. R. Woodard*, J. Norris* and outside con regarding regulatory investigation and integration of litigation
	11/18/2011 4:25:20 PM	Phelan, David C.*	Carp, Jeffrey*; Antonellis, Joseph C.; Smit, Stephen C. Bonn Nick: Coulter		Attorney-Client; Work-Product	Email reflecting legal advice of D. Phela regarding regulatory investigation and in anticipation of litigation
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			Cuan F.; Oliver, Robin; Woodard, R. Bryan*;			6-cr-1
			Bailey, Marshall; Newell,			00
			Kim; Norris, Jonathan*;			94
-			MacDonald, Wendy			-Lī
	11/22/2011	Woodard, R. Bryan*	Bonn, Nick; Miller, Kevin		Attorney-Client;	Email chain reflecting legal advice of R.
	12:09:19		A.		Work-Product	Woodard* regarding KIA transition and
	AM					anticipation of litigation
	12/5/2011	Smit, Stephen C.	Bonn, Nick		Attorney-Client	Email chain discussing legal advices f J.
	4:16:28 PM					Norris* regarding internal fee analysis re
						and client remuneration
	12/19/2011	Woodard, R. Bryan*	Newell, Kim; Bonn, Nick		Attorney-Client	Email chain requesting and rendering leg
	12:56:10 PM					advice of J. Norris* and R. Woodard*
-						regarding client transition management
	10/31/2011	Woodard, R. Bryan*	Newell, Kim; Bonn, Nick	Tar.	Attorney-Client	Email reflecting legal advice of R. Woo
	10:28:36 PM	67.1	ortes totale		Milos	regarding transition management reflew
	10/31/2011				Attorney-Client	Attached daily update providing informs
	5:42:00 PM				200	for the purpose of obtaining legal advice
						R. Woodard* regarding transition (Sanag

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Email chain requesting legal advicedron Norris* regarding transition managemen

Attorney-Client

Raynes, Susan; Bailey, Marshall; Boomgaardt,

Hamilton, Ian; Martin,

Smit, Stephen C.

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				Rick; Bonn, Nick; Norris, Jonathan*		eview verience - ct-1	S or 1
	11/16/2011 8:21:49 PM	Smit, Stephen C.	Antonellis, Joseph C.; Phelan, David C.*	Bonn, Nick; Bailey, Marshall; Ringrow, Rodney J.	Attorney-Client	Email requesting legal advice from 3 . Pregarding client communication 4	<u>™</u>
	12/14/2011 2:25:12 PM	Phelan, David C.*	Smit, Stephen C.; Bonn, Nick		Attorney-Client	Email requesting information for the pur rendering legal advice of D. Phelan regret transition management review	pur Jreg
	12/4/2011 8:07:06 PM	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Antonellis, Joseph C.; Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Attorney-Client	Email requesting information for the pur rendering legal advice of D. Phelandereg client remuneration	Spur
	12/4/2011 8:07:06 PM				Attorney-Client	Attached spreadsheet reflecting request information for the purpose of rendening advice of Legal Department regarding clemmeration	est i
	11/16/2011 11:26:51 PM	Phelan, David C.*	Antonellis, Joseph C.; Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.; Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*; Orton, Simon*		Attorney-Client; Work-Product	Email requesting and rendering legacators. D. Phelan*, J. Carp*, R. Woodard*, J. Nand outside counsel regarding client communication and in anticipation of the communication of the communication of the communication and the communication of the com	No. 12 of Fo
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	11/16/2011				Attorney-Client;	Attached draft notes requesting and gend
	11:26:49 PM				Work-Product	legal advice of D. Phelan*, J. Carp*L.
						Woodard*, J. Norris* and outside cenns
						regarding client communication and
						anticipation of litigation
	11/16/2011				Attorney-Client;	Attached draft chronology requesting an
	11:22:31 PM				Work-Product	rendering legal advice of D. Phelan* J.
						R. Woodard*, J. Norris* and outsidecor
						regarding client communication and
l,		,			, a	anticipation of litigation
	11/16/2011				Attorney-Client;	Attached draft talking points requesting
	11:22:31 PM				Work-Product	rendering legal advice of D. Phelan J. J.
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	11/16/2011				Attorney-Client;	Attached draft talking points requesting
	11:22:31 PM				Work-Product	rendering legal advice of D. Phelan
						R. Woodard*, J. Norris* and outside con
						regarding client communication and in
						anticipation of litigation
	11/17/2011	Smit, Stephen C.	Hooley, Joseph;	Phelan, David C.*;	Attorney-Client;	Email providing information for the Purl
	7:10:22 PM		Antonellis, Joseph C.	Bonn, Nick	Work-Product	obtaining legal advice from D. Phelm*
						regarding client communication and an
						anticipation of litigation
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Cas	Basis for Description of Privileged Information	Attorney-Client Email requesting legal advice from \$\begin{align*}{0}\$. Woodard* and D. Phelan* regarding respectively. The press coverage to press coverage	Attorney-Client; Email rendering legal advice of D. Hala Work-Product regarding internal fee analysis review an anticipation of litigation	300	Mork-Product Woodard* regarding transition managen review procedures and in anticipation of litigation
		Attorn	R.	urdi, Id, ick;	·-f.
	CC/BCC		Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Phelan, David C.*; Norris, Jonathan*; Newell, Kim; Bovardi, Keith A.; McKay, Melissa A.*; Oswald, Matthew; Bailey, Marshall; Bonn, Nick; Orton, Simon*	Fnetan, David C.*; Norris, Jonathan*; Newell, Kim; Bovardi, Keith A.; McKay, Melissa A.*; Oswald,
	То	Bonn, Nick; Newell, Kim; Smit, Stephen C.; Bailey, Marshall; Cichon, Carolyn; Grove, Hannah M.; Muncer, Andrew; Curran, Alicia A.; Smith, Wendy W.; Woodard, R. Bryan*; Phelan, David G.	Bonn, Nick; Smit, Stephen C.	Woodard, R. Bryan*	Khot, Dhafmaraj
	From	Davidson, Lucy	Phelan, David C.*	Khot, Dharmaraj	Woodard, K. Biyan"
	Date	11/2/2011 10:24:06 AM	11/25/2011 6:05:41 PM	12/19/2011 12:47:42 AM	12/19/2011 12:38:24 AM
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0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
				Matthew; Bailey, Marshall; Bonn, Nick; Orton, Simon*		6-cr-100
	12/18/2011 5:30:41 PM	Khot, Dharmaraj	Woodard, R. Bryan*; Bovardi, Keith A.; Oswald, Matthew	Norris, Jonathan*; Orton, Simon*; Newell, Kim: Bailey, Marshall:	Attorney-Client; Work-Product	Email chain discussing legal advice 8f R Woodard* regarding transition managen review procedures and in anticipation of
				Phelan, David C.*; Bonn, Nick; McKay, Melissa A.*		litigation Docur
	12/19/2011 12:36:17	Khot, Dharmaraj	Woodard, R. Bryan*	Phelan, David C.*; Norris, Jonathan*;	Attorney-Client; Work-Product	Email chain discussing legal advice of R Woodard* regarding transition managen
	AM			Newell, Kım; Bovardı, Keith A.; McKay, Melissa A.*; Oswald, Matthew; Bailey, Marshall: Bonn. Nick:		review procedures and in anticipation litigation in position anticipation in anticipation in a second position in
	11/23/2011 4:41:40 PM	Phelan, David C.*	Bonn, Nick	Orton, Simon* Rogers, Mike; Carp, Jeffrey*	Attorney-Client; Work-Product	Email chain requesting and rendering legadvice of D. Phelan* regarding employe disciplinary process and regulatory of the investigation and in anticination of the contraction and in anticination of the contraction of the c
	11/23/2011 4:41:40 PM				Attorney-Client; Work-Product	Attached draft notes rendering legalady D. Phelan* regarding employee disempliir process and regulatory investigation
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Nick; Smith, Wendy W.;

Orton, Simon*

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Jonathan*; Phelan, David

C.*; Bailey, Marshall;

Orton, Simon*; Norris,

11:16:33

Woodard*, J. Norris*, D. Phelan*, and c counsel regarding client communication

anticipation of litigation

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Legal Department regarding transition

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0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Informat
	12/23/2011 6:26:03 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legal davy Legal Department regarding transition management fee review and in antionalitiestion
	12/7/2011 12:45:22 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advicerof R Woodard* regarding transition managen and client communication and in anticipalitization
	12/7/2011 12:58:34 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advices I R Woodard* regarding transition managen and in anticipation of litigation
	12/7/2011 2:15:33 AM	Ringrow, Rodney J.	Norris, Jonathan*; Smit, Stephen C.; Bonn, Nick	Woodard, R. Bryan*; Bailey, Marshall	Attorney-Client; Work-Product	Email chain discussing legal advice [F] I. Norris* and R. Woodard* regarding tran management and client communication anticipation of litigation
	12/7/2011 6:51:40 AM	Ringrow, Rodney J.	Norris, Jonathan*; Smit, Stephen C.; Bonn, Nick	Woodard, R. Bryan*; Bailey, Marshall	Attorney-Client; Work-Product	Email chain requesting legal advices from Norris* and R. Woodard* regarding management and client communication anticipation of litigation
	11/17/2011 10:47:35 AM	Phelan, David C.*	Antonellis, Joseph C.; Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.;		Attorney-Client; Work-Product	Email requesting and rendering legards D. Phelan*, J. Carp*, R. Woodard* T. Nand outside counsel regarding clients communication and in anticipation of lit
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0.	Date	From	То	CC/BCC	Basis for Withholding	Description of Privileged Informat
			Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*; Orton, Simon*; MacDonald, Wendy			6-cr-10094
	11/17/2011 10:46:57 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelanty J. R. Woodard*, J. Norris* and outside couregarding client communication and anticipation of litigation
	11/17/2011 10:46:57 AM				Attorney-Client; Work-Product	Attached draft talking points requesting rendering legal advice of D. Phelan J. R. Woodard*, J. Norris* and outside regarding client communication and in anticipation of litigation
	11/20/2011 7:12:29 PM	Smit, Stephen C.	Antonellis, Joseph C.; Phelan, David C.*	Bonn, Nick; Bailey, Marshall; Newell, Kim; Ringrow, Rodney J.	Attorney-Client; Work-Product	Email requesting legal advice from \veta . Pregarding client communication and anticipation of litigation
	11/17/2011 1:21:24 AM	Antonellis, Joseph C.	Phelan, David C.*	Carp, Jeffrey*; Smit, Stephen C.; Bonn, Nick; Bailey, Marshall; Newell, Kim; Coulter, Cuan F.; Oliver, Robin; Woodard, R. Bryan*; Norris, Jonathan*;	Attorney-Client; Work-Product	Email requesting and rendering legalady D. Phelan*, J. Carp*, R. Woodard*, J. N and outside counsel regarding clienty communication and in anticipation (F) Communication and in anticipation (C) D Communication and in anticipation (C) D
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				Orton, Simon*; Rogers, Mike	4	6-cr-1
	11/9/2011 8:19:10 PM	Woodard, R. Bryan*	Phelan, David C.*; Bonn, Nick	Smit, Stephen C.	Attorney-Client; Work-Product	Email reflecting legal advice of R. Bood and D. Phelan* regarding client confinuand in anticipation of litigation
	11/9/2011 7:50:25 PM	Phelan, David C.*	Bonn, Nick	Smit, Stephen C.; Woodard, R. Bryan*	Attorney-Client; Work-Product	Email reflecting legal advice of D. Phela regarding client communication and anticipation of litigation
	12/6/2011 2:07:18 PM	Phelan, David C.*	Boomgaardt, Rick; Smit, Stephen C.; Bailey, Marshall; Bonn, Nick		Attorney-Client	Email chain requesting information for t purpose of rendering legal advice of regarding communication with constituta
	12/14/2011 1:34:32 PM	Barnard, James	Murphy, Christopher G; Norris, Jonathan*	Jensen, Christopher P.; Bonn, Nick; Boomgaardt, Rick	Attorney-Client	Email chain requesting legal adviceron Norris* regarding client remuneration
	12/7/2011 12:44:30 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client	Email chain requesting information for t purpose of rendering legal advice of R. Woodard* regarding transition managen fees
	12/19/2011 6:52:09 PM	Newell, Kim	Bonn, Nick; Phelan, David C.*; Smit, Stephen C.; Woodard, R. Bryan*; Coulter, Cuan F.; Bailey, Marshall; Newell, Kim; Carlin, Chris; Oliver,		Attorney-Client; Work-Product	Email chain discussing request for legal from Legal Department regarding bysine controls and in anticipation of litigation of the Scott Sc
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Email chain discussing legal advice of L	Attorney-Client;	Bonn, Nick; Smit,	Phelan, David C.*	Antonellis, Joseph C.	11/25/2011	
Legal Department regarding business co and in anticipation of litigation						
the purpose of obtaining legal advice fro	Work-Product				1:44:00 PM	
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and in anticipation of litigation						
Legal Department regarding business co						
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Attached spreadsheet providing informa	Attorney-Client;					
and in anticipation of litigation						
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the purpose of obtaining legal advice fro	Work-Product					
Attached spreadsheet providing informa	Attorney-Client;					
and in anticipation of litigation						
Legal Department regarding business co						
for the purpose of obtaining legal advice	Work-Product				1:37:00 PM	
Attached presentation providing informa	Attorney-Client;				12/19/2011	
.00			Simon*; Scott, Iain			
-cr-1			Hansen, Mark R.; Orton,			
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Case						

o. Date From To CC/BCC 6:24:03 PM Stephen C. Stephen C. Nick Stephen C. 11/25/2011 Smit, Stephen C. Phelan, David C.*; Bonn, Bryan* Rogers, Mike; Carp, Bryan* Phelan, David C.* 11/25/2011 Antonellis, Joseph C. Phelan, David C.* Bonn, Nick; Smit, Stephen C. 11/27/2011 Phelan, David C.* Bonn, Nick; Antonellis, Srephen C. Smit, Stephen C. 11/27/2011 Woodard, R. Bryan* Smit, Stephen C. Antonellis, Joseph C. 11/27/2011 Phelan, David C.* Antonellis, Joseph C. Bonn, Nick; Smit, Stephen C. 11/25/2011 Phelan, David C.* Antonellis, Joseph C. Stephen C. 11/82/2011 Phelan, David C.* Newell, Kim; Bonn, Nick; Woodard, R. Bryan*; Astephen C. 11/82/3011 Phelan, David C.* Norris, Jonathan* 11/8/2011 Phelan, David C.* Bonn, Nick; Morris, Jonathan* 11/8/2011 Phelan, David C.* Bonn, Nick							as
M Stephen C. Phelan, David C.*; Bonn, Bogers, Mike; Carp, Nick Bryan* I Antonellis, Joseph C. Phelan, David C.* Bonn, Nick; Smit, Stephen C. Joseph C.: Rogers, Mike Smit, Stephen C. Joseph C.: Rogers, Mike Bryan* M Sodard, R. Bryan* Smit, Stephen C.; Phelan, David C.*, Bonn, Nick; Smit, Jeffrey* I Woodard, R. Bryan* Smit, Stephen C.; Phelan, Jeffrey* M Antonellis, Joseph C. Bonn, Nick; Smit, Stephen C. Bailey, Marshall; Smit, Shoris, Jonathan* Phelan, David C.* Bonn, Nick; Moodard, R. Bryan*; Bailey, Marshall; Smit, Shoris, Jonathan* Phelan, David C.* Bonn, Nick	0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Informat
1 Smit, Stephen C. Phelan, David C.*; Bonn, Jeffrey*; Woodard, R. Bryan* 1 Antonellis, Joseph C. Phelan, David C.* Bonn, Nick; Smit, Stephen C. Stephen C. Joseph C.; Rogers, Mike Bryan* 1 Woodard, R. Bryan* Smit, Stephen C.; Phelan, David C.*; Bonn, Nick; Bonn, Nick; Smit, Stephen C. Bonn, Nick; Smit, Stephen C. Bonn, Nick; Smit, Stephen C. Bailey, Marshall; Smit, Sonathan Stephen C. Stephen		6:24:03 PM			Stephen C.	Work-Product	Phelan* regarding internal fee analysis r and in anticipation of litigation
1 Antonellis, Joseph C. Phelan, David C.* Bonn, Nick; Smit, Stephen C. 1 Phelan, David C.* Bonn, Nick; Antonellis, Smit, Stephen C. 1 Woodard, R. Bryan* Smit, Stephen C.; Phelan, David C.*; Bonn, Nick Bonn, Nick; Smit, Stephen C. 1 Phelan, David C.* Antonellis, Joseph C. Bonn, Nick; Smit, Stephen C. 2 Antonellis, Joseph C. Bonn, Nick; Smit, Stephen C. Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Bonn, Nick Bayan*; Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Bonn, Nick		11/25/2011 8:27:36 PM	Smit, Stephen C.	Phelan, David C.*; Bonn, Nick	Rogers, Mike; Carp, Jeffrey*; Woodard, R. Bryan*	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from I Phelan*, J. Carp*, R. Woodard*, and J. regarding internal fee analysis review an anticipation of litigation
1 Phelan, David C.* Bonn, Nick; Antonellis, Smit, Stephen C. Moodard, R. Bryan* Smit, Stephen C.; Phelan, David C.*; Bonn, Nick I Phelan, David C.* Antonellis, Joseph C. Stephen C. Phelan, David C.* Bonn, Nick; Smit, Stephen C. Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Balley, Marshall; Smit, Norris, Jonathan* Stephen C. Balley, Marshall; Smit, Norris, Jonathan* Stephen C. Balley, Marshall; Smit, Norris, Jonathan* Stephen C.		11/25/2011 6:35:16 PM	Antonellis, Joseph C.	Phelan, David C.*	Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain discussing legal advice of L Phelan* regarding internal fee analysis r and in anticipation of litigation
1 Woodard, R. Bryan* Smit, Stephen C.; Phelan, David C.*; Bonn, Nick I Phelan, David C.* Phelan, David C.* Bonn, Nick; Smit, Stephen C. Stephen C. Stephen C. Bonn, Nick; Smit, Stephen C. Stephen C. Bailey, Marshall; Smit, Stephen C. Bailey, Marshall; Smit, Stephen C. Phelan, David C.* Bonn, Nick	7	11/27/2011 2:56:36 PM	Phelan, David C.*	Bonn, Nick; Antonellis, Joseph C.; Rogers, Mike	Smit, Stephen C.	Attorney-Client; Work-Product	Email chain rendering legal advice of D. Phelan* regarding internal fee analysis rand in anticipation of litigation
1 Phelan, David C.* Antonellis, Joseph C. Bonn, Nick; Smit, Stephen C. Stephen C. Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Stephen C. Bonn, Nick Bonn, Nick	,	11/27/2011 7:40:52 PM	Woodard, R. Bryan*	Smit, Stephen C.; Phelan, David C.*; Bonn, Nick	Rogers, Mike; Carp, Jeffrey*	Attorney-Client; Work-Product	Email chain requesting and rendering legadvice of R. Woodard* and D. Phelin* regarding internal fee analysis reviews an anticipation of litigation
Phelan, David C.* Newell, Kim; Bonn, Nick; Woodard, R. Bryan*; M Bailey, Marshall; Smit, Norris, Jonathan* Stephen C. Bonn, Nick	3.	11/25/2011 6:32:50 PM	Phelan, David C.*	Antonellis, Joseph C.	Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain requesting and rendering legadvice of D. Phelan* regarding internal analysis review and in anticipation of lit
Phelan, David C.* Bonn, Nick	. (11/8/2011 8:35:39 AM	Phelan, David C.*	Newell, Kim; Bonn, Nick; Bailey, Marshall; Smit, Stephen C.	Woodard, R. Bryan*; Norris, Jonathan*	Attorney-Client; Work-Product	Email reflecting legal advice of D. Phela regarding regulatory investigation and in anticipation of litigation
		11/8/2011	Phelan, David C.*	Bonn, Nick		Attorney-Client;	Email reflecting legal advice of D. Phela

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	Description of Privileged Informa	regarding regulatory investigation agd ir anticipation of litigation	Email reflecting legal advice of D. B ela regarding regulatory investigation agal ir anticipation of litigation	Email requesting legal advice from &. Woodard*, D. Phelan*, J. Norris* and McKay* regarding client communication		Email discussing legal advice of D. Phel regarding transition management regew client communication	Email reflecting legal advice of D. Ra ela regarding regulatory investigation agal ir anticipation of litigation	Email reflecting legal advice of D. Phela regarding R. Boomgaardt and in andbips litigation	Attached draft notes reflecting legalydy D. Phelan* regarding R. Boomgaard an anticipation of litigation	
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	OC/BCC			Boomgaardt, Rick; Martin, Christopher M; Hamilton, Ian			Bonn, Nick; Smit, Stephen C.; Woodard, R. Bryan*			
	To		Bonn, Nick	Woodard, R. Bryan*; Bonn, Nick; Bailey, Marshall; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*: Norris. Jonathan*:	McKay, Melissa A.*	Bonn, Nick; Smit, Stephen C.	Rogers, Mike; Carp, Jeffrey*	Bonn, Nick; Bailey, Marshall		
	From		Phelan, David C.*	Smit, Stephen C.		Bailey, Marshall	Phelan, David C.*	Smit, Stephen C.		
	Date	11:55:23 AM	11/9/2011 10:00:38 PM	11/14/2011 12:35:17 PM		11/1/2011 6:52:08 PM	11/9/2011 9:51:00 PM	11/15/2011 10:07:40 PM	11/15/2011 6:22:12 PM	
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Email chain discussing legal advice of R Woodard* regarding transition managen	Attorney-Client; Work-Product	Bailey, Marshall; Boomgaardt, Rick;	Newell, Kim; Woodard, R. Bryan*	Smit, Stephen C.	12/22/2011 1:06:12 PM	
litigation 50						
review procedures and in anticipation of						
Woodard* regarding transition managen			•			
purpose of obtaining legal advice from F	Work-Product	Nick; Smit, Stephen C.	Bryan*		2:56:11 PM	
Email chain providing information gr th	Attorney-Client;	Bailey, Marshall; Bonn,	Newell, Kim; Woodard, R.	Boomgaardt, Rick	12/22/2011	
/08			C.*; Newell, Kim			
regarding communication with consultar			Hannah M.; Phelan, David		4:53:20 PM	
Email reflecting legal advice of R. Wood	Attorney-Client		Bonn, Nick; Grove,	Woodard, R. Bryan*	11/2/2011	
Woodard* regarding client remuneration			Bonn, Nick		10:02:10 PM	
Email requesting legal advice from R.	Attorney-Client	3.	Woodard, R. Bryan*;	Jensen, Christopher P.	12/19/2011	
regarding revenue accrual		Hardy, James A.		and the	12:39:58 PM	
Email discussing legal advice of D. Thel	Attorney-Client	Jensen, Christopher P.;	Bonn, Nick	Mohan, Aditya	12/21/2011	
connection with legal advice of R. Wood					1:01:00 PM	
Attached document providing information	Attorney-Client				11/12/2011	
regarding non-disclosure agreement						
D. Phelan*, J. Norris*, and R. Woodard					1:10:41 PM	
Attached draft letter reflecting legalsdv	Attorney-Client				11/23/2011	
regarding non-disclosure agreemen						
Woodard*, D. Phelan*, and J. Norris*					1:26:42 PM	
Email chain rendering legal advice of R.	Attorney-Client		Bonn, Nick	Woodard, R. Bryan*	11/23/2011	
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Woodard, R. Bryan* Bailey, Marshall Bonn, Nick; Newell, Kim; Grove, Hannah Myork-Product Attorney-Client, Kim; Grove, Hannah Mork-Product Moban, Aditya Mohan, Aditya; Bonn, Nick Mohan, Aditya; Bonn, Nick Mohan, Aditya Jensen, Christopher P. Bonn, Nick; Mohan, Aditya Attorney-Client Jensen, Christopher P. Bonn, Nick; Mohan, Aditya Attorney-Client Jensen, Christopher P. Aditya Attorney-Client Jensen, Christopher P. Aditya Attorney-Client Newell, Kim Phelan, David C.*; Bonn, Nick Attorney-Client; Work-Product Woodard, R. Bryan* Bonn, Nick Attorney-Client; Work-Product					Bonn, Nick		review procedures and in anticipation of litigation
Jensen, Christopher P. Mohan, Aditya; Bonn, Mohan, Aditya Bonn, Nick Jensen, Christopher P.; Mohan, Aditya Jensen, Christopher P.; Bonn, Nick Woodard, R. Bryan* Bonn, Nick Woodard, R. Bryan* Mork-Product Work-Product Work-Product		11/12/2011 6:34:11 PM	Woodard, R. Bryan*	Bailey, Marshall	Bonn, Nick; Newell, Kim; Grove, Hannah M.; Curran, Alicia A.; Phelan, David C.*;	Attorney-Client; Work-Product	Email rendering legal advice of R. Boor regarding client communication and anticipation of litigation
Jensen, Christopher P. Mohan, Aditya; Bonn, Aditya; Bonn, Attorney-Client Mohan, Aditya Jensen, Christopher P.; Attorney-Client Jensen, Christopher P. Bonn, Nick; Mohan, Attorney-Client Jensen, Christopher P. Bonn, Nick; Mohan, Attorney-Client Newell, Kim Phelan, David C.*; Bonn, Attorney-Client; Woodard, R. Bryan* Bonn, Nick Attorney-Client; Work-Product Work-Product					Norris, Jonathan*; McKay, Melissa A.*; Smit, Stephen C.		Docum
Mohan, AdityaJensen, Christopher P.;Attorney-ClientJensen, Christopher P.Bonn, Nick; Mohan,Attorney-ClientJensen, Christopher P.Bonn, Nick; Mohan,Attorney-ClientNewell, KimPhelan, David C.*; Bonn, NickAttorney-Client; Work-ProductWoodard, R. Bryan*Bonn, NickAttorney-Client; Work-Product		12/15/2011 1:58:06 PM	Jensen, Christopher P.	Mohan, Aditya; Bonn, Nick		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Phelar regarding revenue accrual
Jensen, Christopher P.Bonn, Nick; Mohan,Attorney-ClientNewell, KimPhelan, David C.*; Bonn, NickAttorney-Client; Work-ProductWoodard, R. Bryan*Bonn, NickAttorney-Client; Work-Product		12/15/2011 1:33:51 PM	Mohan, Aditya	Jensen, Christopher P.; Bonn, Nick		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Pielar regarding revenue accrual
Newell, Kim Phelan, David C.*; Bonn, Nick Work-Product Woodard, R. Bryan* Bonn, Nick Attorney-Client; Work-Product		12/15/2011 1:13:37 PM	Jensen, Christopher P.	Bonn, Nick; Mohan, Aditya		Attorney-Client	Email chain in connection with seeking advice from R. Woodard* and D. Pælar regarding revenue accrual
Woodard, R. Bryan* Bonn, Nick Attorney-Client; Work-Product	.1	12/19/2011 2:51:11 PM	Newell, Kim	Phelan, David C.*; Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of D Phelan* regarding transition managemen review and in anticipation of litigates
		12/15/2011 1:00:52 PM	Woodard, R. Bryan*	Bonn, Nick		Attorney-Client; Work-Product	Email chain rendering legal advice of R. Woodard* regarding internal fee analysi review and client communication and in

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Marshall; Coulter, Cuan F.;

Oliver, Robin; Woodard,

R. Bryan*; Norris,

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			Jonathan*; Orton, Simon*; Grove, Hannah M.			6-cr-1
	12/21/2011 11:13:24 PM	Newell, Kim	Woodard, R. Bryan*	Bailey, Marshall; Boomgaardt, Rick; Bonn, Nick; Smit, Stephen C.	Attorney-Client; Work-Product	Email chain providing information for the purpose of obtaining legal advice from Woodard* regarding transition managen review procedures and in anticipation of
						litigation
	12/21/2011 12:02:00 PM				Attorney-Client; Work-Product	Attached draft letter reflecting legaledy R. Woodard* regarding transition name fee review procedures and in anticination
	1100,0011		* 5 1 . 14			Intigation t
	11/23/2011 12:06:31 PM	Boomgaardt, Rick	Norris, Jonathan*	Smut, Stephen C.; Bailey, Marshall; Bonn, Nick	Attorney-Client	Email requesting legal advice from \$6 No regarding communications with consulta
	11/23/2011 11:49:34 AM				Attorney-Client	Attached document providing informatic the purpose of obtaining legal adviolation Norris* regarding communication with consultant
	12/18/2011 2:26:14 PM	Phelan, David C.*	Smit, Stephen C.; Bonn, Nick		Attorney-Client; Work-Product	Email chain discussing legal advice of D Phelan* regarding regulatory investigation anticipation of litigation
	12/18/2011 2:26:14 PM				Attorney-Client	Attached notes rendering legal advige of Phelan* regarding regulatory investigation anticipation of litigation
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	12/19/2011	Bailey, Marshall	Boomgaardt, Rick; Bonn,	Newell, Kim; Smit,	Attorney-Client	Email chain requesting legal advice fron
	8:47:33 AM		Nick; Norris, Jonathan*	Stephen C.; Woodard,		Norris*, R. Woodard*, and D. Phelan*
				R. Bryan*; Phelan, David C.*		regarding client communication 00
	12/16/2011				Attorney-Client	Attached letter providing information fo
	5:36:40 PM					purpose of obtaining legal advice from h Woodard* J Norris* and D Phelan*
						regarding client communication
	12/20/2011	Newell, Kim	Bonn, Nick		Attorney-Client	Email chain requesting information For t
	3:54:21 PM					purpose of rendering legal advice of
						odard* regarding transition man
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	11/29/2011	Smit, Stephen C.	Bonn, Nick		Attomey-Client	Email chain discussing legal advice of R
	12:16:45 PM	rions vo			700	Woodard* regarding transition managen
						fees O
	12/19/2011	Jensen, Christopher P.	Bonn, Nick	Newell, Kim; Woodard,	Attorney-Client	Email chain providing information Br th
	11:23:43 PM			R. Bryan*		purpose of obtaining legal advice fram F Woodard* regarding client remuneration

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Bonn, Nick; Norris, Jonathan*

Barnard, James

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employee disciplinary process and reven accrual						
Email requesting information for the purendering legal advice of D. Phelan vege	Attorney-Client	Newell, Kim; Bailey, Marshall	Bonn, Nick; Smit, Stephen C.	Phelan, David C.*	12/20/2011 9:02:15 AM	
obtaining legal advice from Legal Depar regarding client communication			C.; Bailey, Marshall		7:23:38 PM	
Email providing information for the un	Attorney-Client		Bonn, Nick; Smit, Stephen	Boomgaardt, Rick	12/5/2011	
R. Woodard* regarding client commentation					5:35:00 PM	
Attached draft letter reflecting legal 3 dv	Attorney-Client				10/19/2011	
Filed			Carolyn; Bailey, Marshall; Phelan, David C.*			
regarding client communication			Wendy W.; Bonn, Nick;		9:39:19 PM	
Email reflecting legal advice of R. Wood	Attorney-Client		Newell, Kim; Smith,	Woodard, R. Bryan*	10/19/2011	
and in anticipation of litigation						
fee analysis review and client commitmic		Mike				
Woodard* and D. Phelan* regarding into	Work-Product	David C.*; Rogers,	·		12:47:20 PM	
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review and in anticipation of litigation						
Woodard* regarding transition managen	Work-Product		·		12:33:03 PM	
Email chain rendering legal advice & R.	Attorney-Client;	Newell, Kim	Bonn, Nick; Smit, Stephen	Woodard, R. Bryan*	12/15/2011	
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	10/19/2011 9:07:23 PM	Woodard, R. Bryan*	Newell, Kim; Smith, Wendy W.; Bonn, Nick; Smit. Stephen C.: Cichon.		Attorney-Client	Email rendering legal advice of R. Wood regarding client communication
			Carolyn; Bailey, Marshall; Phelan, David C.*			094-L ⁻ 1
	10/19/2011 4:53:00 PM				Attorney-Client	Attached draft letter reflecting legaladyr R. Woodard* regarding client compunity
	12/15/2011 1-11-58 PM	Bonn, Nick	Jensen, Christopher P.;		Attorney-Client	Email chain providing information or the part of obtaining legal advice from I
			Trought training			Woodard* and D. Phelan* regarding revactual
	12/22/2011	Bonn, Nick	Carlin, Chris		Attorney-Client;	Email chain discussing legal advice
	12:10:20 AM				Work-Product	counsel regarding transition managemen controls review and in anticipation of lit
	12/15/2011				Attorney-Client;	Attached notes rendering legal advie of
	12:33:01 PM				Work-Product	outside counsel regarding transition
						management controls review and inganicipation of litigation
	12/15/2011	Bonn, Nick	Newell, Kim; Smit,		Attorney-Client;	Email chain discussing legal advice of R
	1:02:29 PM	15	Stephen C.		Work-Product	Woodard* regarding internal fee analysi
						review and client communication and in
						anticipation of litigation
	12/7/2011	Bonn, Nick	Smit, Stephen C.		Attorney-Client	Email chain discussing legal advice of R
	12:54:38 PM					Woodard* regarding transition managen
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	11/30/2011	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email chain providing information for the
	7:33:23 PM					purpose of obtaining legal advice frem I Phelan* regarding client remuneration
	12/13/2011	Bonn, Nick	Newell, Kim		Attorney-Client	Email chain providing information for th
	7:51:44 PM					purpose of obtaining legal advice from I Department regarding client remuneration
	11/9/2011	Bonn, Nick	Newell, Kim		Attorney-Client;	Email reflecting legal advice of D. Phela
	9:54:32 PM)	V 501		Work-Product	regarding regulatory investigation and in
	12/15/2011	Bonn Nick	Smit Stenhen C	Newell Kim: Woodard	Attorney-Client	Email providing information for the Turn
	3:23:19 AM			R. Bryan*	Work-Product	obtaining legal advice from R. Woodard
				NS		regarding transition management fee rev
						and in anticipation of litigation
	11/16/2011	Bonn, Nick	Smit, Stephen C.; Phelan,		Attorney-Client	Email requesting legal advice from .
	2:26:03 PM		David C.*			regarding client communication
	12/22/2011	Bonn, Nick	Smit, Stephen C.;		Attorney-Client;	Email chain discussing legal advice FR
	1:10:58 PM		Woodard, R. Bryan*		Work-Product	Woodard* regarding transition managen
						review procedures and in anticipation of
						Litigation G
	12/18/2011	Bonn, Nick	Phelan, David C.*; Smit,		Attorney-Client;	Email chain providing information (Br th
	12:40:34 PM		Stephen C.; Newell, Kim;		Work-Product	purpose of obtaining legal advice freen I
			Bailey, Marshall; Coulter,			Phelan*, R. Woodard*, J. Norris*, and c
			Cuan I., Onver, Noom,			counsel regarding dansinon managemen

enotes Attorney Name

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Litigation Email chain requesting legal advicedron

litigation

Attorney-Client

Woodard, R. Bryan*

Bonn, Nick

9:02:12 PM

12/6/2011

enotes Attorney Name

Woodard* regarding transition managen

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Email chain discussing legal advice of R Woodard* regarding internal fee an<mark>al</mark>ysi

Attorney-Client

Jeffrey*; Woodard, R. Rogers, Mike; Carp,

Bryan*

Woodard, R. Bryan*

Bonn, Nick

8:12:38 PM

12/4/2011

enotes Attorney Name

Stephen C.

8:09:53 PM

						as
0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Informat
						review and client remuneration
	12/4/2011	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain discussing legal advice L
	8:11:24 PM	9				Phelan* regarding internal fee analysis r
						and client remuneration
	12/6/2011	Bonn, Nick	Woodard, R. Bryan*		Attorney-Client	Email chain providing information for th
	12:00:30 PM					purpose of obtaining legal advice from F
						Woodard* regarding client remuneration
	12/14/2011	Bonn, Nick	Phelan, David C.*		Attorney-Client	Email chain requesting information
	2:30:40 PM	2	8		1	purpose of rendering legal advice of D. I
						regarding client remuneration
	12/14/2011	Bonn, Nick	Phelan, David C.*; Smit,		Attorney-Client	Email chain providing information for the
	3:31:00 PM		Stephen C.			purpose of obtaining legal advice frem I
			685			Phelan* regarding client remuneration
	12/19/2011	Bonn, Nick	Jensen, Christopher P.;	Newell, Kim	Attorney-Client	Email chain discussing request for legal
	10:43:55 PM		Woodard, R. Bryan*			from R. Woodard* regarding client
						remuneration
	11/25/2011	Bonn, Nick	Phelan, David C.*; Smit,	Rogers, Mike; Carp,	Attorney-Client;	Email chain providing information Br th
	6:54:20 PM		Stephen C.	Jeffrey*; Woodard, R.	Work-Product	purpose of obtaining legal advice frem I
				Bryan*		Phelan* regarding internal fee analysis r
				97		and in anticipation of litigation
	11/27/2011	Bonn, Nick	Antonellis, Joseph C.;	Smit, Stephen C.	Attorney-Client;	Email chain discussing legal advicéAfD
	1:33:14 PM		Phelan, David C.*; Rogers,		Work-Product	Phelan* regarding internal fee analysis r
			Mike			and in anticipation of litigation
	11/8/2011	Bonn, Nick	Phelan, David C.*		Attorney-Client;	Email providing information for theroun
						5

C)	ć	a	S

Woodard* regarding client communicati					_	4
the purpose of obtaining legal advice fro					3:33:37 AM	
	Attorney-Client				10/17/2011	
t Email requesting legal advice from S. Woodard* regarding client commufacati	Attorney-Client	Bonn, Nick; Woodward, James	Newell, Kım; Woodard, R. Bryan*	Balogh, Justin	10/17/2011 6:49:42 AM	
regarding legal fees			W.; Hardy, James A.		10:49:27 PM	
t Email reflecting legal advice of R. Woo	Attorney-Client		Bonn, Nick; Puth, David	Woodard, R. Bryan*	10/18/2011	
regarding revenue accrual					AM	
obtaining legal advice from D. Phelian*		Marshall	David C.*	R	11:08:39	
t Email providing information for the un	Attorney-Client	Newell, Kim; Bailey,	Smit, Stephen C.; Phelan,	Bonn, Nick	12/20/2011	
anticipation of litigation	a.	*				
regarding regulatory investigation and ir	Work-Product				9:54:15 PM	
t; Email requesting legal advice from .	Attorney-Client;		Phelan, David C.*	Bonn, Nick	11/9/2011	
nent 2		Jensen, Christopher P.; Woodard, R. Bryan*				
Woodard* regarding revenue accrua		Hardy, James A.;				
2.		Bailey, Marshall;	1	NI.	5:05:16 PM	
t Email chain providing information for th	Attorney-Client	Smit, Stephen C.;	Murphy, Christopher G	Bonn, Nick	11/29/2011	
review			•			
	•		Stephen C.		11:42:12 PM	
t Email chain discussing legal advice 18 FR	Attorney-Client		Woodard, R. Bryan*; Smit,	Bonn, Nick	12/1/2011	
anticipation of litigation		-12				
regarding regulatory investigation and ir					AM	
obtaining legal advice from D. Phelan*	Work-Product				11:47:00	
a Victoria	Withholding	CC/BCC	To	From	Date	0
Se						

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Email requesting legal advice from **9**. Pregarding response to press coverage. Email requesting legal advice from R.

Attorney-Client

Attorney-Client

Greene, Liz; Bailey, Liggett, Matthew*;

Woodard, R. Bryan*; Smit,

Phelan, David C.*

Bonn, Nick

9:33:49 PM

10/13/2011

10/10/2011

11:43:03

Bonn, Nick

Marshall

Phelan, David C.*; Oliver,

Robin; Orton, Simon*;

Phelan, David C.* Squire, Nicholas

Bonn, Nick

10/14/2011

enotes Attorney Name

Stephen C.; Paton, Kathy;

Woodard*, D. Phelan* and outside Soun regarding disciplinary hearing

Email requesting legal advice from G. P.

Attorney-Client;

9:06:36 AM

10/19/2011

9:25:06 PM

Date

ö

2:27:38 PM

10/14/2011

2:27:47 PM

10/13/2011

2:27:47 PM

10/13/2011

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enotes Attorney Name

Attached document providing informatic the purpose of obtaining legal advice fro

Attorney-Client

Attorney-Client

Bonn, Nick; Woodard,

Audit-Administration

Jensen, Christopher P.

9:28:32 PM

10/13/2011

2:26:00 PM

10/13/2011

R. Bryan*

Attached email reflecting legal advige of

Attorney-Client

Woodard* regarding PwC engagement

Woodard* regarding PwC engagentant

5						
Email rendering legal advice of R. Wood regarding PwC engagement	Attorney-Client	Phelan, David C.*; Bonn, Nick; Campo,	Jensen, Christopher P.	Woodard, R. Bryan*	10/10/2011 8:23:13 PM	
Phelan* regarding letter to the FSA@nd anticipation of litigation	Work-Product				5:09:53 PM	
Attached letter reflecting legal advices	Attorney-Client				10/14/2011	
of litigation 8			Coulter, Cuan F.; Smit, Stephen C.; Bonn, Nick			
regarding letter to the FSA and in afficil	Work-Product		Joseph C.; Puth, David W.;		5:09:54 PM	
Email providing legal advice of D. Rhela	Attorney-Client;		Carp, Jeffrey*; Antonellis,	Phelan, David C.*	10/14/2011	
Woodard* regarding PwC engagement						
Attached draft letter providing informati	Attorney-Client				10/10/2011	
the purpose of obtaining legal advice fro Woodard* regarding PwC engagement					4:15:00 PM	
Attached document providing information	Attorney-Client				10/10/2011	
					AM	
Email discussing legal advice of R. Woo	Attorney-Client		Bonn, Nick	Jensen, Christopher P.	10/13/2011	
Woodard* regarding PwC engagemgnt						
Anached dran letter providing information the purpose of obtaining legal advice fro	Attorney-Client				4:20:00 PM	
6-ci						
Description of Privileged Informat	Basis for Withholding	CC/BCC	To	From	Date	0.
as						

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enotes Attorney Name

Email requesting legal advice from Q. P. and J. Norris* regarding response to pres

Attorney-Client

Puth, David W.; Bonn,

Smit, Stephen C.; Phelan,

Bailey, Marshall

4:59:16 PM

10/10/2011

David C.*: Norris,

Nick

						Cas
0.	Date	From	To	CC/BCC	Basis for Withholding	Description of Privileged Information
			Jonathan*; Paton, Kathy			coverage
	10/8/2011				Attorney-Client	Attached document providing information
	5:56:00 PM					the purpose of obtaining legal advice fro Phelan* and J. Norris* regarding respon
						press coverage
	10/6/2011	Curran, Alicia A.	Grove, Hannah M.;	Cichon, Carolyn; Smith,	Attorney-Client	Email requesting legal advice from ® .
	8:43:02 PM		Woodard, R. Bryan*;	Wendy W.; Muncer,		Woodard* and D. Phelan* regarding res
			Bonn, Nick; Puth, David	Andrew; Davidson,		to press coverage
			W.; Phelan, David C.*;	Lucy		eun
			Smit, Stephen C.			ner
	10/6/2011	Curran, Alicia A.	Hooley, Joseph;	Atkinson, Tracy; Brady,	Attorney-Client	Email reflecting legal advice of J. Capp*
	9:35:18 PM	1	Antonellis, Joseph C.;	Kevin; Grove, Hannah	VOTE :	Phelan* and R. Woodard* regardingres
			Carp, Jeffrey*; Klinck,	M.; Kream, Jeremy*;		to press coverage
			John L.; O'Leary, David	MacDonald, Kelley S.;		File
			C.; Phalen, James S.;	Phelan, David C.*;		ed
			Powers, Scott F.; Puth,	Smith, Wendy W.;		02
			David W.; Quirk, Alison	Stigler, Hollye F.;		/08
			A.; Resch, Edward J.;	Roberts, Arlene C.;		3/18
			Rogers, Mike; Kuritzkes,	Gavell, Stefan M.;		3
			Andrew	Larkin, John G.;		Pa
				Macintosh, Kylie;		uge
				Sakakibara, Yu; Dedios,		: 44
				Mireille M.; McGehee,		4 0
				Marie; Clapp, Audrey		f 5
						6

outside counsel regarding disciplinary he Email providing information for the pur

Attorney-Client

Liggett, Matthew*;

Bonn, Nick; Woodard, R.

Paton, Kathy

10/10/2011

Cas		obtaining legal advice from R. Woodard Phelan* and outside counsel regarding disciplinary hearing	Email providing information for the obtaining legal advice from R. Woo Phelan* and outside counsel regard disciplinary hearing	Client Email rendering legal advice of R. Wood regarding disciplinary hearing ED D	Client Email reflecting legal advice of R. 8000 regarding client communication	Client Email reflecting request for legal addice R. Woodard* regarding response to response to see t
	Basis for Withholding		Attorney-Client	Attorney-Client	Attorney-Client	Attorney-Client
	CC/BCC	Greene, Liz; Bailey, Marshall; Orton, Simon*; Squire, Nicholas	Bonn, Nick; Woodard, R. Bryan*; Phelan, David C*; Oliver, Robin; Liggett, Matthew*; Greene, Liz; Bailey, Marshall; Orton, Simon*; Squire,	Liggett, Matthew*; Greene, Liz; Bailey, Marshall; Bonn, Nick	Bonn, Nick	Cichon, Carolyn; Grove, Hannah M.; Muncer, Andrew; Smith, Wendy W.
	To	Bryan*; Smit, Stephen C.; Phelan, David C.*; Oliver, Robin	Paton, Kathy	Smit, Stephen C.; Paton, Kathy; Phelan, David C.*; Oliver, Robin; Orton, Simon*; Squire, Nicholas	Newell, Kim	Davidson, Lucy; Bonn, Nick; Smit, Stephen C.; Newell, Kim; Bailey, Marshall; Woodard, R. Bryan*; Carney, Gerard;
	From		Smit, Stephen C.	Woodard, R. Bryan*	Woodard, R. Bryan*	O'Sullivan, Alan
	Date	11:54:52 AM	10/10/2011 3:16:45 PM	10/10/2011 12:09:22 AM	10/18/2011 11:25:33 AM	10/17/2011 4:37:00 PM
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			Verrengia, Peter			6- 0	3-C
	10/6/2011	Grove, Hannah M.	Curran, Alicia A.; Hooley,	Atkinson, Tracy; Brady,	Attorney-Client	Email reflecting legal advice of J. Carp*	arp*
	10:05:00 PM		Joseph; Antonellis, Joseph	Kevin; Kream, Jeremy*;		Phelan* and R. Woodard* regarding	Ries
			C.; Carp, Jeffrey*; Klinck,	MacDonald, Kelley S.;		to press coverage) 194
			John L.; O'Leary, David	Phelan, David C.*;			l-I ⁻
			C.; Phalen, James S.;	Smith, Wendy W.;		TS	TS
			Powers, Scott F.; Puth,	Stigler, Hollye F.;			Г
			David W.; Quirk, Alison	Roberts, Arlene C.;		000)00
			A.; Resch, Edward J.;	Gavell, Stefan M.;		cun	un
			Rogers, Mike; Kuritzkes,	Larkin, John G.;		nei	nei
			Andrew	Macintosh, Kylie;		nt 2	nt :
				Sakakibara, Yu; Dedios,		286	286
				Mireille M.; McGehee,			3
				Marie; Clapp, Audrey		File	File
				L.; Power, Elizabeth;		ed	ed
				Roberts, Arlene C.;		02	02
				Davidson, Lucy;		/08	/08
				Woodard, R. Bryan*;		3/1	3/1
				Cichon, Carolyn; Bonn,		8	8
				Nick; Smit, Stephen C.		Pa	Pa
						ige	ide
						47	4 7
						of 5	of F
						56	56

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Dates Ivo. Degill	Dates No. Enu	Date	From	10	CC/DCC	Redaction	Information
S_SEC_MCL_0356	SS_SEC_MCL_0357	11/08/2011	Rogers, Mike	Bonn, Nick		Attorney-	Redacted email reflecting le
		12:51:55				Client;	of R. Woodard* and D. Phel
		PM				Work-	regarding regulatory in Bir
						Product	transition management Rivie
		1					created in anticipation of liti
S SEC MCL 1000	SS_SEC_MCL_1003	11/09/2011	Newell, Kim	Bonn, Nick		Attorney-	Redacted email requesting a
		5:28:01				Client	reflecting legal advice of D.
		PM					and R. Woodard* regarding
							communication, transition
							management review, and reg
							nt 2 Arinbur
S_SEC_MCL_1005	SS_SEC_MCL_1009	11/02/2011	Grove, Hannah	Bonn, Nick;	Cichon, Carolyn;	Attorney-	Redacted email reflecting re
		4:55:32	M.	Phelan, David C.*;	Muncer,	Client	legal advice of D. Phelan* a
		PM		Woodard, Bryan	Andrew;		Woodard* regarding commu
				R.*; Newell, Kim	Davidson, Lucy		with Inalytics
S SEC MCL 1011	SS_SEC_MCL_1011	12/15/2011	Newell, Kim	Bonn, Nick	5 5	Attorney-	Redacted email request Rg l
		11:44:05				Client	advice of R. Woodard* Rega
		AM					client communication and t
							management review
S_SEC_MCL_1013	SS_SEC_MCL_1013	370 570	Rogers, Mike	Bonn, Nick		Attorney-	Redacted email requesting le
		12:39:23				Client	advice of R. Woodard* and
		PM					Phelan* regarding clients
							communication and transiti
							management review
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Dates No Deci-	Dotter No End	D.e.c.	T. C. C.	Ľ	Danso	Basis for	Description of Privile
Dates Ivo. Degill	Dates No. Enu	Date	From	10	CC/DCC	Redaction	Information
S_SEC_MCL_1014	SS_SEC_MCL_1014	12/14/2011	Hickey, Kristine	Bonn, Nick		Attorney-	Redacted email requesting
		2:50:55				Client	information for the purpose
		PM				į	providing legal advice &D.
							regarding client communica
S_SEC_MCL_1029	SS_SEC_MCL_1033	12/22/2011	Bailey, Marshall	Bonn, Nick; Smit,		Attorney-	Redacted email reflecting re
		3:05:22		Stephen C.;		Client	legal advice of Legal Depart
		PM		Newell, Kim			regarding remediation and c
							communication
S_SEC_MCL_1043	SS_SEC_MCL_1045	11/03.2011	Bailey, Marshall	Ringrow, Rodney		Attorney-	Redacted email reflecti fig re
		5:47:59		J.; Smit, Stephen		Client	legal advice from D. Phelan
		PM		C.; Phelan, David			regarding client communities
		20		C.*; Bonn, Nick	0	17	286
S_SEC_MCL_1050	SS_SEC_MCL_1051	11/06/2011	Newell, Kim	Bonn, Nick		Attorney-	Redacted email reflecting le
		10:00:21		88		Client	of D. Phelan* regarding reg
		PM					inquiry, transition managem
						9	review, and client compunity
S_SEC_MCL_1060	SS_SEC_MCL_1061		Newell, Kim	Bonn, Nick		Attorney-	Redacted email reflecting le
	0000	1:49:33		7		Client;	of outside counsel regardin
		PM				Wok-	enhanced controls, created in
						Product	anticipation of litigation
S SEC MCL 1062	SS_SEC_MCL_1063	12/15/2011				Attorney-	Redacted draft notes refecti
		12:33:01				Client	advice of outside counsel re
		PM					enhanced controls, created in
							anticipation of litigation
							6

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Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for	Description of Privile
						Redaction	Information
S_SEC_MCL_1064	SS_SEC_MCL_1066	10/24/2011	Woodard, R.	Bonn, Nick		Attorney-	Redacted email providing an
		8:54:31	Bryan*			Client	requesting legal advice of or
		PM					counsel, J. Carp*, R. Weoda
							D. Phelan* regarding efaplo
							termination -
S SEC MCL 1074	SS_SEC_MCL_1075	10/19/2011	Newell, Kim	Bonn, Nick		Attorney-	Redacted email reflecting le
		10:16:51				Client;	of R. Woodard* regarding
		PM				Work-	communication with clients
						Product	regulatory inquiry, and in an
						2	of litigation
S SEC MCL 1077	SS_SEC_MCL_1078	10/19/2011				Attorney-	Redacted draft letter reffecti
		5:35:00				Client;	advice of R. Woodard* Rega
		PM				Work-	communication with clients
						Product	regulatory inquiry, and 🚻 an
							of litigation
S SEC MCL 1079	SS SEC MCL 1079	11/15/2011	Curran, Alicia	Phelan, David C.*;		Attorney-	Redacted email reflectiह्नु re
		3:02:12		Woodard, R.		Client	legal advice of D. Phelas* a
		PM		Bryan*; Bonn,			Woodard* regarding comm
				Nick; Newell, Kim;			with Inalytics
				Smit, Stephen C.;			Pa
				Bailey, Marshall;			ige
				Smith, Wendy W.;			50
				Grove, Hannah M.;			ot of
				Muncer, Andrew;			f 5
							6

							5
Bates No. Begin	Bates No. End	Date	From	To	CC/BCC	Basis for Redaction	Description of Privile Information
				Davidson, Lucy			6- 0
S SEC MCL 1088	SS_SEC_MCL_1092	10/25/2011	Woodard, James	Newell, Kim	Balogh, Justin;	Attorney-	Redacted email requesting a
		6:25:46	75	y	Bonn, Nick	Client	providing legal advice &R.
		AM					Woodard* regarding client
							communication
S SEC MCL 1102	SS_SEC_MCL_1103	12/20/2011	Newell, Kim	Murphy,		Attorney-	Redacted email reflecting le
	id id	3:29:59		Christopher; Bonn,		Client	of D. Phelan* regarding clie
		PM		Nick; Barnard,			communication and employ
				James			discipline
S SEC MCL 1114	SS_SEC_MCL_1115	11/23/2011	Bonn, Nick	Phelan, David C.*;		Attorney-	Redacted email requesting l
		12:55:30		Woodard, R.		Client	advice of J. Norris*, D. The
		PM		Bryan*			R. Woodard* regarding
							communication with Inalytic
S SEC MCL 1118	SS_SEC_MCL_1119	011	Bonn, Nick	Grove, Hannah M.		Attorney-	Redacted email requesting le
		1:09:42				Client	advice of J. Norris* andrefl
		PM					request for legal advice of L
							Department regarding comm
× 5				in the second se			with Inalytics
S SEC MCL 1196	S_SEC_MCL_1196 SS_SEC_MCL_1196	3 45	Bonn, Nick	Johansen, Harry G.		Attorney-	Redacted email reflecting re
		11:54:05	٨	100 mm m		Client	legal advice of J. Norris, D
		AM					and R. Woodard* regarging
						5	communication
S SEC MCL 1198	SS_SEC_MCL_1199	12/02/2011				Attorney-	Redacted draft talking point
		3:24:00				Client	reflecting request for legal a
							6

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Dates Ivo. Degili	Dates 1vo. Ellu	Date	From	10	CC/DCC	Redaction	Information
		PM					J. Norris*, D. Phelan*, and I
							Woodard* regarding client
							communication 0
S_SEC_MCL_1207	SS_SEC_MCL_1207	11/29/2011	Bonn, Nick	Murphy,		Attorney-	Redacted email reflectiff le
		1:40:05		Christopher G.		Client	of R. Woodard* regarding to
		PM					management review 0
S_SEC_MCL_1209	SS_SEC_MCL_1211	11/09/2011				Attorney-	Redacted spreadsheet reflect
		7:15:00				Client;	advice of R. Woodard* Rega
		PM				Work-	KIA transition and client
						Product	remuneration a
S_SEC_MCL_1212	SS_SEC_MCL_1213	10/28/2011	Bonn, Nick	Puth, David W.		Attorney-	Redacted email reflecting le
		3:05:34		1.00		Client	of outside counsel
		PM					
S_SEC_MCL_1215	SS_SEC_MCL_1216	GV 45	Bonn, Nick	Barnard, James	Newell, Kim	Attorney-	Redacted email requesting l
		11:40:02				Client	advice of R. Woodard* Pega
		PM					client remuneration and seve
							adjustments S
S_SEC_MCL_1241	SS_SEC_MCL_1247	12/28/2011	Bonn, Nick	Newell, Kim		Attorney-	Redacted email requesting a
		1:59:14				Client	providing legal advice of D.
		AM					and J. Norris* regarding Fen
							and client communication
S_SEC_MCL_1261	SS_SEC_MCL_1264		Bonn, Nick	Smit, Stephen C.;		Attorney-	Redacted email reflecting re
		1:59:14		Newell, Kim;		Client	legal advice of Legal Depart
		PM		Boomgaardt, Rick;			regarding remuneration and
							6

					5	D. C. C.	1. Ou 3: 1
Rates No Begin	Rates No Fnd	Date	From	1	CC/BCC	Dasis 10r	Description of Privile
Dates 110. Degin	Dates 110. End	Danc	11011	10	COLDEC	Redaction	Information
				Bailey, Marshall		0	communication 5
S SEC MCL 1272	SS SEC MCL 1275	AL EX	Bonn, Nick	Smit, Stephen C.	Phelan, David	Attorney-	Redacted email requesting le
		12:58:53	NY .		C.*; Bailey,	Client	advice of D. Phelan* regard
		PM			Marshall		transition management Revie
							client communication
S SEC MCL 1277	SS_SEC_MCL_1278	an an	Bonn, Nick	Newell, Kim		Attorney-	Redacted email reflecting le
		10:10:33				Client	of D. Phelan* regarding clie
		PM					communication and employ
							discipline E
S SEC MCL 1282	SS SEC MCL 1283		Bonn, Nick	Smit, Stephen		Attorney-	Redacted email reflecting le
		11:47:56				Client	of D. Phelan* regarding clie
		PM					communication and emgoy
							discipline
S_SEC_MCL_1292	SS_SEC_MCL_1299	12/13/2011				Attorney-	Redacted draft presentation
		2:33:31				Client	legal advice of R. Woodard
		AM					regarding BlockCross tradin
							reflecting legal advice Rou
							counsel regarding regulatory
							and approval
S_SEC_MCL_1301	SS_SEC_MCL_1311	, and				Attorney-	Redacted draft presentation
		9:25:00				Client	legal advice of Legal Depart
		PM					regarding transition mapage
							remediation and Block Goss
S SEC MCL 1509	SS SEC MCL 1512	11/09/2011	Bonn, Nick	Newell, Kim;		Attorney-	Redacted email requesting le
							6

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						Kedacilon	Iniormatien
		8:05:09		Carlin; Chris		Client	advice of D. Phelan* and R.
		PM					Woodard*, and reflecting le
							of D. Phelan* regarding lie
							communication, transitish
							management review, and reg
							inquiry 51
S SEC MCL 1526	SS_SEC_MCL_1527	12/08/2011	Carlin, Chris	Bonn, Nick		Attorney-	Redacted email reflecting le
		9:35:01	E	100		Client	of M. McKay*, D. Phelen*,
		PM					Woodard* regarding transiti
				£.	11	2.2	management review
S SEC MCL 1550	S SEC_MCL_1550 SS_SEC_MCL_1550	12/07/2011	Bonn, Nick	Ringrow, Rodney	Smit, Stephen C.	Attorney-	Redacted email reflecting re
	0	4:54:50	A	J.	Į.	Client	legal advice of R. Woodard'
		PM					Phelan* regarding KIA trans
							and client communication
S SEC MCL 331	SS_SEC_MCL_333	10/19/2011	Woodard, R.	Leaden, Mark;	Bonn, Nick	Attorney-	Redacted email requesting a
		2:24:28	Bryan*	Bailey, Marshall;	N.)	Client	providing legal advice &R.
		PM		Scully, Anthony;			Woodard* regarding trasiti
				Sokolova, Denisa		e e	management review
S SEC MCL 348	SS_SEC_MCL_353	12/29/2011	Smit, Stephen	Fernando, Vijay;		Attorney-	Redacted email requesting a
	100 51 100 51 100 100 100 100 100 100 10	11:09:37		Woodard, R.		Client	providing legal advice of J.
		AM		Bryan*; Norris,			and R. Woodard* regarging
				Jonathan*; Bailey,			remuneration 67
				Marshall; Waller,			4 of
				1 duits			56

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S SEC MCL 457	SS_SEC_MCL_480	12/16/2011				Attorney-	Redacted draft presentation
		3:14:00				Client	discussing legal advice of L
		PM					Department regarding regula
							investigation and enhanged
S SEC MCL 490	SS_SEC_MCL_551	12/14/2011				Attorney-	Redacted draft presentation
		00:00:9				Client	legal advice of Legal Depart
		PM					regarding regulatory inquiry
							transition management Revie
S SEC MCL 552	SS_SEC_MCL_554	11/23/2011	Bailey, Marshall	Bonn, Nick;	Smit, Stephan C.	Attorney-	Redacted email reflecting le
		10:56:15		Jensen, Christopher		Client	of outside counsel and Dega
		PM		P.			Department regarding chent
		100					remuneration 8
S SEC MCL 560	SS_SEC_MCL_625	12/13/2011		Pi .		Attorney-	Redacted draft presentation
		3:24:00				Client	legal advice of R. Woodard
		PM					regarding BlockCross tradin
							reflecting legal advice Rou
							counsel regarding regulatory
S SEC MCL 629	SS_SEC_MCL_629	12/08/2011	Bonn, Nick	Bonn, Nick		Attorney-	Redacted email reflecting re
		12:17:58				Client	legal advice of J. Norris* an
		PM					Woodard* regarding client
							communication (5
S SEC MCL 631	SS_SEC_MCL_634	11/09/2011	Bailey, Marshall	Newell, Kim; Smit,		Attorney-	Redacted email requesting l
		4:19:51		Stephen C.; Bonn,		Client	advice of D. Phelan* and B.
		PM		Nick			Woodard*, and reflecting le
							6

enotes Attorney Name

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							of D. Phelan* regarding clie
							communication, transition
							management review, and reg
							inquiry 6
S_SEC_MCL_635	SS_SEC_MCL_638	11/09/2011	Newell, Kim	Bailey, Marshall;		Attorney-	Redacted email requesting le
		4:06:22		Smit, Stephen C.;		Client	advice of D. Phelan* and B.
		PM		Bonn, Nick			Woodard*, and reflecting le
				ž.			of D. Phelan* regardingclie
							communication, transition
							management review, and reg
							inquiry via
S SEC MCL 649	SS_SEC_MCL_650	1	Murphy,	Bonn, Nick;	Newell, Kim	Attorney-	Redacted email requesting le
		12:39:53	Christopher	Barnard, James		Client	advice of Legal Department
		PM					Norris*, and discussing lega
							of R. Woodard* regardmg u
							revenue O
S SEC MCL 998	SS_SEC_MCL_999	12/20/2011	Newell, Kim	Bonn, Nick		Attorney-	Redacted email reflecting le
	G G	3:41:57		2		Client	of D. Phelan* regarding clie
		PM					communication and employ
							discipline discipline
							īđ.

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